



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

REC'D 20 AUG 2004

WIPO

PCT

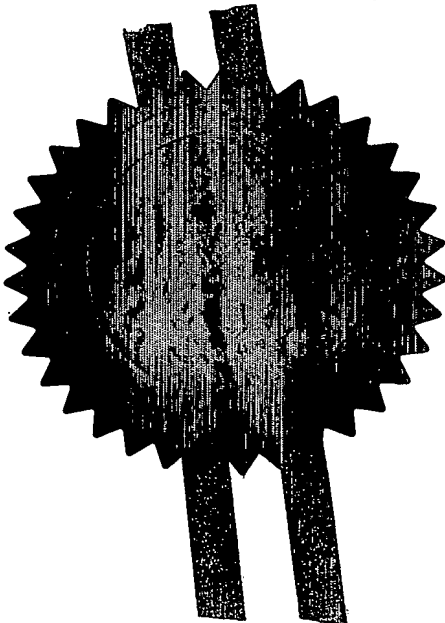
GB/04/3389

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

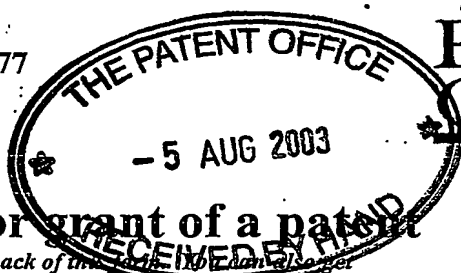
Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated 13 August 2004

PRIORITY DOCUMENT
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH
RULE 17.1(a) OR (b)



The Patent Office

1/77

06AUG03 EB28034-7 D01631
P01/7700 0.00-0318359.7

Request for grant of a patent

(See the notes on the back of this form and get an explanatory leaflet from the Patent Office to help you fill in this form.)

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

Fee: £0

1. Your reference	IML/SJT/46007.GB01		
2. Patent application number (The Patent Office will fill in this part)	05 AUG 2003	0318359.7	
3. Full name, address and postcode of the or of each applicant (underline all surnames)	E2V Technologies Limited 106, Waterhouse Lane Chelmsford Essex CM1 2QU United Kingdom 08457749001 Patents ADP number (if you know it) If the applicant is a corporate body, give the country/state of incorporation United Kingdom		
4. Title of the invention	MICROFLUIDIC COMPONENTS		
5. Full name, address and postcode in the United Kingdom to which all correspondence relating to this form and translation should be sent	Reddie & Grose 16 Theobalds Road LONDON WC1X 8PL 91001 ✓ Patents ADP number (if you know it)		
6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country	Priority application (If you know it)	Date of filing (day/month/year)
7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application		Date of filing (day/month/year)
8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. See note (d))	YES		

Patents Form 1/77

5. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document.

Continuation sheets of this form

Description	16
Claim(s)	7
Abstract	1
Drawing(s)	6 + 6 <i>Ph</i>

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*) 1 ☒

Request for preliminary examination and search (*Patents Form 9/77*) 1 ☒ *Ph*

Request for substantive examination (*Patents Form 10/77*)

Any other documents
(please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature

Date

5 August 2003

Reddix

12. Name and daytime telephone number of person to contact in the United Kingdom

I M LOVELESS
020-7242 0901

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or such direction has been revoked.

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

MICROFLUIDIC COMPONENTS

FIELD OF INVENTION

5

This invention relates to microfluidic components, and in particular relates to a valve for microfluidic control of fluid flow.

10

BACKGROUND OF THE INVENTION

15

So-called "lab-on-a-chip" devices require precise microfluidic technology to regulate fluid flow through various microchannels to enhance on-chip chemical processing. Some examples of the use of this technology include improving the storage of reagents, priming of channels, switching of liquid flow-streams, as well as isolating specific areas of the chip during sensitive steps in the chemical processing, to prevent leakage and pressure fluctuations.

20

25

Research in this field is currently undertaken to develop methods of regulating microfluidic flow within such a chip using a series of valves. Controlling such fluid flow is essential to the efficient performance of the device.

30

35

One method of providing controlled fluid flow is to use conventional diaphragm valves. This normally involves using MEMS (micro electric mechanical systems) technology, based on silicon materials. Implementation and integration of such components, however, is complicated and very costly. Similar types of valves, such as hydrophobic passive valves, are less complicated to implement and integrate, but only provide one-way fluid flow.

Another way of providing such controlled fluid flow is to use bead-based microfluidic valves, such as that described in Ji et al. (16th European Conference on Solid-State Transducers, September 15-18, 2002, Prague). In this design, a number of silica micro-beads are used to block off a fluid outlet to form a check-like valve. When fluid flows through the valve from a fluid inlet in the direct of the outlet, the fluid flow causes the beads to move towards the mouth of the outlet where they aggregate. If the volume of the aggregate is large enough, then the valve effectively closes, and no more fluid flows through the outlet. As the size of the beads compared to the fluid inlet decreases, other factors such as electrostatic attraction and decreasing surface energy affect aggregation.

The disadvantage of this design is that to open the valve, the direction of fluid flow must be reversed in order to force the aggregate away from the mouth of the outlet. Furthermore, it is not possible to achieve a quick fluid flow cut-off, as in the MEMS valve described above, as it takes a finite period of time for the aggregate to achieve a sufficient volume to close off the mouth of the fluid outlet.

Simpler concepts for controlling a liquid flow are to freeze the liquid itself, use a metal ball or some form of piezo electric to create a blockage temporarily in a fluid channel. Each such solution has disadvantages such as the time lag in controlling the flow - a particular problem with the solution of freezing the liquid. Bubble valves, which utilise various surface tension effects, are also known in the art. It is also known to create micrometer-sized pumps and valves by manipulating colloidal microspheres, described in Terray, Oakey and Marr,

Science, vol. 296, pp 1841 - 1843, 2002). This uses the principle of optical trapping to manoeuvre the colloidal particles to control fluid flow.

5 SUMMARY OF THE INVENTION

We have appreciated the need for a simple, reliable method and device for microfluidic fluid control. We have further appreciated that any mechanism for control of
10 fluid should preferably have minimal impact upon the nature of the fluid itself.

We have appreciated, therefore a need to provide a microfluidic valve which provides two-way fluid flow, and
15 which is simple to manufacture and integrate into existing systems, and which can be implemented at low cost.

Accordingly, the present invention provides a microfluidic valve comprising a first body for containing
20 fluid having a fluid inlet and a fluid outlet and a plurality of electrodes, and arranged to contain, in use, a second body held within fluid contained in the first body, the second body being moveable toward or away from one of the fluid inlet or fluid outlet, the movement of
25 the second body caused by the electric field generated by the electrodes, such that fluid flow into or out of the first body is controlled.

The invention also provides a method of controlling fluid
30 flow in a microfluidic valve comprising: applying a voltage to a plurality of electrodes arranged on a first body containing fluid, the body having a fluid inlet and a fluid outlet thereby creating an electric field; and causing a second body to move, due to the electric field,
35 toward or away from one of the fluid inlet or fluid outlet.

Microfluidic chips and switches may comprise microfluidic valves in accordance with various embodiments of the invention. It is also possible to make diagnostic devices comprising such switches and chips.

5

Embodiments of the invention offer the advantage that fluid flow may be controlled using a simple valve, which allows two-way fluid flow into and out of a fluid containing body. This fluid control may be by means of dielectrophoresis, electrophoresis or electro-osmosis, wherein an electric field gradient or non-uniform electric field, provided by electrodes on the first body causes the second body to move.

10
15

The second body may comprise a polarisable particle of a dielectric material, such as latex, polystyrene, polypropylene, glass, silica or PTFE, or of a conductive material.

20

BRIEF DESCRIPTION OF THE FIGURES

Embodiments of the invention will now be described by way of example only, and with reference to the accompanying drawings in which:

25

Figure 1 is a schematic representation of an electrode arrangement to create driven particle motion due to the dielectrophoresis effect;

Figure 2 is a schematic representation of a circuit for use in the valve device of the present invention;

30

Figure 3 is a first schematic cross section of a valve in accordance with a first embodiment of the present invention;

35

Figure 4 is a second schematic cross section of a valve in accordance with the first embodiment of the present invention;

Figure 5 is a schematic representation of a second embodiment of the present invention; and

Figure 6 is a schematic example of a third embodiment of the present invention.

5

DESCRIPTION OF EMBODIMENTS OF THE INVENTION

10 The present embodiments of invention exploit the effect of travelling wave dielectrophoresis (TWD) to move polarisable particles within a channel to form a microfluidic valve. By way of background the phenomenon of dielectrophoresis will first be described.

15 AC electrokinetic techniques such as dielectrophoresis and travelling wave dielectrophoresis have been used for many years in applications for the manipulation, separation and characterisation of various particles. The phenomenon occurs when a particle and surrounding medium have different polarisabilities, which
20 in the presence of a dynamic electric field can be used to induce attractive, repulsive and travelling motion in the particle with respect to the medium.

25 Dielectrophoresis is exhibited by uncharged particles in non-uniform electric fields, such as those which are alternating, or which have an electric field gradient, and may be understood as being analogous to effect of electrophoresis on charged particles.

30 Any charged particle surrounded by a medium will attract ions of opposite charge from within that medium, forming a double layer of electric charge at the particle surface. For example, a negatively charged particle will attract positive ions. When this charged particle
35 experiences a uniform electric field, for example, a DC electric field, this double layer becomes distorted. This

is known as the Maxwell-Wagner effect. Two charges, δq_+ and δq_- , are induced on either side of the particle at radii r_+ and r_- . This produces a dipole moment of magnitude

5

$$m = (\delta q_+)r_+ - (\delta q_-)r_- = \partial q \cdot r \quad 1$$

For a spherical particle of radius r , in a medium of absolute dielectric permittivity ϵ_m , the magnitude of the dipole moment is

10

$$m = 4\pi\epsilon_m \left(\frac{\sigma_p^* - \sigma_m^*}{\sigma_p^* + \sigma_m^*} \right) r^3 E \quad 2$$

where σ_p^* and σ_m^* are the complex conductivities of the particle and the medium respectively.

15

In the case of uncharged particles, dielectrophoresis is induced when a non-uniform electric field is experienced.

20

The total electric force, F acting on a particle in a non-uniform electric field E is given by

$$F = QE + \delta q E(r_+) - \delta q E(r_-) = QE + (m \nabla) \cdot E \quad 3$$

25

where Q is the charge of the particle, ∇ is the vector operator Del, and other terms are as defined above.

In this situation the particle is uncharged, hence $Q = 0$.

30

Using the expression $\sigma^* = \sigma + j\omega\epsilon$, the time-averaged force, $F(\omega)$, on the particle in the field is given by

$$F(\omega) = 2\pi\epsilon_m^3 \operatorname{Re}[K(\omega)] \nabla E^2$$

4

where $K(\omega)$ is the Clausius-Mossotti factor,

$$K(\omega) = \frac{\epsilon_p^* - \epsilon_m^*}{\epsilon_p^* + 2\epsilon_m^*}$$

5

ω is the frequency of the applied field, for example, an AC field, and Re denotes the real component of the complex Clausius-Mossotti factor respectively. This distinguishes the effect from electrophoresis.

If a polarisable particle is suspended in a rotating electric field, the induced dipole forms across the particle and rotates synchronously with the field. If the angular velocity of the field is particularly large, the relaxation time of the dipole (the time it takes to form) is significant, and the dipole will lag behind the field. A non-zero angle between the field and the dipole occurs, inducing a torque in the particle and causing it to rotate asynchronously with the field. The rotation may be with or against the direction of field, depending on whether the lag is less than or greater than 180° . This effect is known as electrorotation. The rotating electric field may be provided by a circular arrangement of electrodes, each of which is 90° out of phase with its neighbours.

The time-averaged torque, Γ , felt by a polarisable particle of radius r in a rotating electric field, E , is

$$\Gamma = -4\pi\epsilon_m r^3 \operatorname{Im}[K(\omega)] E^2$$

6

where $\operatorname{Im}[K(\omega)]$ is the imaginary part of the Clausius-Mossotti factor,

$$K(\omega) = \frac{\epsilon_p^* - \epsilon_m^*}{\epsilon_p^* + 2\epsilon_m^*}$$

7

5 The minus sign indicates that the dipole moment lags the field.

When viscous drag is taken into account, the rotation rate, $R(\omega)$, of the particle is given by

$$10 \quad R(\omega) = -\frac{\epsilon_m \operatorname{Im}[K(\omega)] E^2}{2\eta}$$

8

where η is the viscosity of the medium.

15 Depending upon electrode geometry and the type of field applied, travelling wave dielectrophoresis, which is a combination of the effects of dielectrophoresis and electrorotation, may be induced in the particle.

20 Rather than a circular arrangement, the electrodes may be arranged along a track, as shown in Figure 1. The relationship between the electrode 11 phases remains the same, with each successive electrode 11 being 90° out of phase. Each electrode reaches a peak voltage at a different time, creating a non-uniform electric field. This results an electric field which travels along the electrodes. When this travelling wave interacts with a polarisable particle 12, a dipole is induced. This dipole moves with the electric field peak, which, if the electric field is travelling fast enough, will induce a force on the particle 12. The particle 12 then travels along the electrodes 11.

25

30

The force, F_{TWD} , induced on the particle 12 is given by

$$F_{TWD} = \frac{-4\pi\epsilon_m r^3 \text{Im}[K(\omega)]E^2}{\lambda}$$

9

where λ is the wavelength of the travelling wave.

5 Again, referring to Figure 1, thin film electrodes 11 are formed on a glass slide 13 which is used to seal a channel for containing an analyte, for example, for use in a lab-on-a-chip system. The thin film electrodes 11 may be formed by any suitable process, for example, photolithography. The thin film electrodes are placed a distance λ apart, where λ is the wavelength of the electric field travelling wave set up by the phase difference between the current in each electrode.

15 A circuit, as shown in Figure 2, is connected to the thin film electrodes, in order to produce a travelling electric field. Each of the op-amps is connected as shown to form a negative feedback amplifier, and a 90° phase difference is induced between each electrode.

20

Figure 3 shows a schematic cross-section of a valve in accordance with an embodiment of the present invention. Valve 21 comprises a first body 22 for containing fluid, a fluid inlet 23, a fluid outlet in the form of a microchannel 24 and a second body, which is a polarisable particle 25. Electrodes, not shown, are arranged along the side of the chamber 22, such that an electric field may be induced between the inlet 23 and the microchannel 24. When in use, the body contains fluid, and may be either filled or partially filled with fluid. The body may define a chamber or a channel, such as a pipe. The fluid maybe a liquid, such as a non-polar solvent, or a gas.

30

The particle 25 is introduced into the body 22, and fluid is free to flow into and out of the body 22 via the fluid inlet 23 and the microchannel 24. However, under the influence of this fluid flow, and gravity, the particle 25 will naturally come to rest in the mouth of the microchannel 24. As shown in Figure 3, the body may define a chamber.

Applying AC current to the electrodes, so that the electrode at the fluid inlet 23 is 90° advanced in phase to that at the microchannel 25, (for example, when the amplitude of the AC current is positive), the dielectrophoresis effect induced in the particle 24 will force it to move towards the mouth of the microchannel 25. This prevents fluid flow through the body 22. If the direction of the electric field is changed, for example, by inducing a 90° phase lag in the electrode at the inlet 23 with respect to that at the microchannel 24, (for example, when the amplitude of the AC current is negative) then the particle will be forced away from the mouth of the microchannel 24, allowing fluid flow to resume. When the particle 25 rests in the mouth of the microchannel 24, the valve is switched off. When the particle 25 moves away from the microchannel, the valve is switched on.

Figure 4 shows a second schematic cross section of a valve in accordance with the first embodiment of the present invention. The valve 41 comprises a first body 42 for containing fluid, which in this embodiment is a chamber, and a microchannel 43. A number of electrodes 44 are placed on one side of the fluid chamber 42. It would of course be possible to place a number of electrodes on opposite or adjacent sides of the body. A second body, the polarisable particle 45, is placed within the fluid chamber 42. The electrodes 44 may be connected to a circuit to provide a travelling AC field, such as that

shown in Figure 2, or any other suitable circuit. Again, inducing 90° phase advance in the electrodes 44 away from the microchannel 43 will cause the polarisable particle 45 to move towards the mouth of the microchannel 43, whilst inducing a phase lag will cause the polarisable particle 45 to move away from the mouth of the microchannel 43. In this manner, fluid flow can be controlled similarly to in the valve of Figure 3. In this embodiment, the polarisable particle is of a dielectric material, such as a latex, polystyrene, polypropylene, glass or silica bead, or other such materials of a suitable density. Although in the present embodiment, the particle is spherical, it may also be non-spherical, for example obloid, with the long axis arranged parallel to the electric field direction. Such a particle may then be used to regulate fluid flow, by regulating the electric field frequency (to avoid electrorotation effects) such that the fluid inlet or fluid outlet is closed off slowly, resulting in a gradually decreasing or increasing flow of fluid. Alternatively, the particle may be spherical, but formed of a deformable or resilient material, for example, rubber or PTFE.

The body for containing fluid may be of an insulating material, for example, a plastic (thermosetting or thermoplastic) or glass with metallic electrodes applied to the outside using conventional forming methods. The electrodes do not have to be formed on an outer surface of the body, but merely in a position where the electric field generated affects the polarisable particle held within the body. Alternatively, the body itself could be metallic, with an insulating coating, and electrodes applied such that an electric field is set up in regions coated with the insulator.

The valve may alternatively be set up such that phase lag causes the polarisable particle 42 to move toward the mouth of the microchannel 43.

5 Figure 5 shows a valve in accordance with a second embodiment of the present invention. The valve 51 comprises a first body 52 for containing fluid, a fluid inlet 53, a fluid outlet 54, a particle injection channel 55 and a plurality of second bodies, such as the
10 polarisable particles 56. An electrode array 57 is shown for illustrative purposes only. In this embodiment, the body defines a channel, and may be a pipe, for example.

15 Polarisable particles 56 are injected into the fluid chamber 52 via particle injection channel 55. AC current is applied to the electrode array 57, inducing a travelling electric field. In the configuration shown, each electrode experiences an applied signal which is 90° phase lagged with respect to the electrode on the left -
20 that nearest the particle injection channel - then an electric field is set up with a travelling wave moving away from the fluid inlet 53 and fluid outlet 54. This
25 causes a polarisable particle 56, for example a latex bead, which was blocking the fluid outlet 54 to be forced away from the mouth of the outlet 54, allowing fluid to flow.

30 Figure 6 shows a valve in accordance with a third embodiment of the present invention. A valve 61 comprises a first body 62 for containing fluid, a fluid inlet 63, a fluid outlet 64, a bubble generation chamber 65 and associated electrode 66 and bubbles 67. Again, an array of electrodes 68 is shown for illustrative purposes only, and the body defines a channel.

Bubbles 66 are created in the bubble generation chamber 65 by applying a voltage V across electrode 66. These are injected into the body 52 from the bubble generation chamber 65. AC current is applied to the electrode array 68, inducing a travelling electric field. In the configuration shown, each electrode experiences an applied signal which is 90° phase advanced with respect to the electrode on the left - that nearest the particle injection channel - then an electric field is set up with a travelling wave moving towards the fluid inlet 63 and fluid outlet 64. This causes a bubble 66 to move towards the mouth of the fluid outlet 64, acting to block the fluid outlet 64. This closes the valve and prevents fluid flow.

Bubbles may alternatively be held in a reservoir until needed, or created by bubbling an inert gas such as argon through the generation chamber. Where the fluid in the valve is a liquid, it is possible to use a liquid-filled bubble in preference to a gas-filled bubble. The liquid used to fill the bubble would need to conform to certain physical criteria with regard to viscosity, surface tension and density. One example of this would be the use of an oil drop bubble in an aqueous liquid.

In both valves shown in Figure 5 and 6, when the phase lag or advanced is reversed, the direction of the electric field travelling wave is reversed, and the polarisable particle or bubble moved towards or away from the fluid outlet.

In effect, the polarisable particles or bubbles act as pistons, moving towards and away from the valve seat - the mount of the fluid outlet, to regulate fluid flow. Fluid flow in this situation is microfluidic flow, which is laminar. The force on the particle determines the speed

of the particle, and consequently the rate at which the valve can be opened or closed. In this manner, the valve may be used as a microfluidic switch, switching fluid flow on and off in lab-on-a-chip applications. The valve may also be included in a microfluidic chip. Various diagnostic devices may comprise such chips and switches.

Although the invention has been described with reference to the effect of travelling wave dielectrophoresis it will of course be apparent to one skilled in the art that it is possible to use a polarisable particle formed of an electrically conductive material rather than one of a dielectric material, and to utilise the effect of electrophoresis, described above, to produce a travelling wave which moves such a particle toward or away from fluid inlet or outlet. This is of particular use if the valve is used for inorganic chemical processing. Furthermore, it would be possible to utilise an electrophoretic valve with various charged chemicals, for example, DNA. Electrodes may be arranged on the first body to cause the DNA to agglomerate, thus forming a self-regulating valve without the need for an additional second body such as a polarisable particle.

The second body may also be used to control fluid flow into and out of the microfluidic valve by means of the electro-osmotic effect.

When a voltage is applied across the two ends of a fluid-filled body or channel, positive ions of the fluid will be attracted to the walls of the body or channel. These positive ions will then move under the influence of the electric field created by applying the voltage. Fluid will be dragged along the body or channel by the positive ions due to viscous coupling.

The velocity of the fluid, v_{EOF} , is governed by the equation:

$$v_{EOF} = \frac{\epsilon_0 \epsilon_r \zeta}{\eta} \vec{E} = \mu_{EO} \vec{E} \quad 10$$

5 where μ_0 is the permittivity of a vacuum, μ_r is the relative permittivity of the fluid, ζ is the zeta potential, η is the viscosity of the fluid and μ_{EO} the electro-osmotic mobility.

10 Consequently, it would be possible to produce a valve where the second body may be in fact a second type of fluid, or where the second body is carried along by the fluid, rather than by the direct influence of the electric field, as with the electrophoresis and dielectrophoresis effects.
15

Whilst the invention has been described with respect to moving the particle toward a fluid outlet, it is of course possible to form a valve where the fluid flow
20 through the inlet is switched on and off, depending upon the application the valve will be used for.

Furthermore, the embodiments described herein have comprised a single fluid inlet and a single fluid outlet.
25 However, it is also possible that valves with a plurality of inlets or outlets or both, could be used. In this case, with suitable arrangement of electrodes with respect to each inlet and outlet, the flow of fluid through each inlet and/or outlet could be controlled.

30

Although various embodiments of the invention have been described in relation to a fluid-filled body which is in the form of either a chamber or a channel. When a channel is used, the size of the polarisable particle must

be restricted such that it will move freely through the channel. Typically, the channel width is 50 to 100 μ m. A second restriction is that the particle must have a large enough diameter to be affected by the electric field caused by two electrodes. For example, if the electrodes are 10 μ m in length, and each spaced apart by 10 μ m, then the smallest possible diameter of the particle is also 10 μ m.

10 This in turn causes a restriction on the width of the fluid inlet and fluid outlet, which must both have a width comparable to the diameter of the particle, in order for the fluid flow to be controlled effectively.

15 Various other modifications are possible and will occur to those skilled in the art without departing from the scope of the invention which is defined by the appended claims.

20

CLAIMS

1. A microfluidic valve comprising a first body for
containing fluid having a fluid inlet and a fluid
outlet and a plurality of electrodes, and arranged
to contain, in use, a second body held within
fluid contained in the first body, the second body
being moveable toward or away from one of the
fluid inlet or fluid outlet, the movement of the
second body caused by the electric field generated
by the electrodes, such that fluid flow into or
out of the first body is controlled.
2. The microfluidic valve of claim 1, wherein the
plurality of electrodes is an array.
3. The microfluidic valve of claim 1 or 2, wherein
the plurality of electrodes are arranged on a side
of the first body.
4. The microfluidic valve of claims 1, 2 or 3,
wherein the plurality of electrodes are arranged
on opposite sides of the first body.
5. The microfluidic valve of claims 1, 2 or 3,
wherein the plurality of electrodes are arranged
on adjacent sides of the first body.
6. The microfluidic valve of any of claims 1 to 5,
wherein an electric field gradient is created by
applying alternating current to the plurality of
electrodes.
7. The microfluidic valve of any of claims 1 to 5,
wherein a non-uniform electric field is created by

applying alternating current to the plurality of electrodes.

- 5 8. The microfluidic valve of claim 6 or 7, wherein a phase difference is induced between adjacent electrodes.
- 10 9. The microfluidic valve of claim 8, wherein the phase difference is a phase lag of 90° .
- 10 10. The microfluidic valve of claim 8, wherein the phase difference is a phase advance of 90° .
- 15 11. The microfluidic valve of claims 8, 9 or 10, wherein the phase difference causes the second body to move toward or away from one of the fluid inlet or fluid outlet.
- 20 12. The microfluidic valve of any preceding claim, wherein when the second body is moved toward one of the fluid inlet or fluid outlet, fluid flow into or out of the body is prevented.
- 25 13. The microfluidic valve of claim 12, wherein when fluid flow is prevented, the valve is switched off.
- 30 14. The microfluidic valve of claim 11, 12 or 13, wherein when the second body is moved away from one of the fluid inlet or fluid outlet, the valve is on.
- 35 15. The microfluidic valve of any preceding claim, wherein the second body is of a dielectric material.

16. The microfluidic valve of claim 15, wherein the dielectric material is one of latex, polystyrene, polypropylene, glass, silica or PTFE.
- 5 17. The microfluidic valve of any of claims 1 to 14, wherein the second body is a bubble.
18. The microfluidic valve of claim 17, further comprising a bubble generation chamber with an opening into the body.
- 10 19. The microfluidic valve of any preceding claim, wherein the first body defines a chamber.
- 15 20. the microfluidic body of any of claims 1 to 18, wherein the first body defines a channel.
21. The microfluidic valve of claim 20, wherein the channel is a pipe.
- 20 22. The microfluidic valve of any preceding claim, wherein the second body is moveable in the electric field by dielectrophoresis.
- 25 23. The microfluidic valve of any of claims 1 to 14, wherein the second body is electrically conductive.
24. The microfluidic valve of claim 23, wherein the second body is moveable in the electric field by electrophoresis.
- 30 25. The microfluidic valve of any of claims 1 to 21, wherein the second body is moveable in the electric field by electro-osmosis.
- 35

26. The microfluidic valve of any preceding claim,
wherein the fluid flow is laminar.
- 5 27. The microfluidic valve of any preceding claim,
wherein the first body has a plurality of inlets.
28. The microfluidic valve of any of claims 1 to 27,
wherein the first body has a plurality of outlets.
- 10 29. The microfluidic valve of claim 27 or 28, wherein
the fluid flow through each inlet or each outlet
is controllable.
- 15 30. The microfluidic valve of any preceding claim,
wherein the second body controls fluid flow
through a fluid inlet.
- 20 31. The microfluidic valve of any of claims 1 to 29,
wherein the second body controls fluid flow
through a fluid outlet.
- 25 32. The microfluidic valve of any preceding claim,
wherein the fluid is a liquid.
33. The microfluidic valve of any of claims 1 to 32,
wherein the fluid is a gas.
- 30 34. A method of controlling fluid flow is a
microfluidic valve comprising:
applying a voltage to a plurality of electrodes
arranged on a first body containing fluid, the
body having a fluid inlet and a fluid outlet
thereby
creating an electric field; and

causing a second body to move, due to the electric field, toward or away from one of the fluid inlet or fluid outlet.

- 5 35. The method of claim 34, wherein the plurality of electrodes is an array.
36. The method of claim 34, wherein an alternating current is applied to the electrodes.
- 10 37. The method of claim 36, wherein a phase difference is induced between adjacent electrodes.
38. The method of claim 36 or 37, wherein the phase difference is a phase lag of 90° .
- 15 39. the method of claim 36 or 37, wherein the phase difference is a phase advance of 90° .
- 20 40. The method of any of claims 34 to 39, wherein the phase difference causes the second body to move toward or away from one of the fluid inlet or fluid outlet.
- 25 41. The method of claim 40, wherein when the second body is moved toward one of the fluid inlet or fluid outlet, fluid flow into or out of the first body is prevented.
- 30 42. The method of claim 41, wherein when fluid flow is prevented, the valve is switched off.
- 35 43. The method of any of claims 34 to 40, wherein when the second body is moved away from one of the fluid inlet or fluid outlet, the valve is switched on.

44. The method of any of claims 34 to 43, wherein the second body is of a dielectric material.
- 5 45. The method of claim 44, wherein the dielectric material is one of latex, polystyrene, polypropylene, glass, silica or PTFE.
- 10 46. The method of any of claims 34 to 44, wherein the second body is a bubble.
- 15 47. The method of claim 46, further comprising generating the bubble in a bubble generation chamber which opens onto the first body.
- 20 48. The method of claim 47, further comprising introducing the bubble into the first body.
- 25 49. The method of claim 48, wherein the bubble is introduced into the first body before applying alternating current to the plurality of electrodes.
- 30 50. The method of claim 48, wherein the bubble is introduced into the first body after applying alternating current to the plurality of electrodes.
- 35 51. The method of any of claims 34 to 50, wherein the second body is moveable in the electric field by dielectrophoresis.
52. The method of any of claims 31 to 51, wherein the second body is an electrically conductive particle.

53. The method of claim 52, wherein the second body is moveable in the electric field by electrophoresis.
54. The method of any of claims 34 to 50, wherein the second body is moveable in the electric field by electro-osmosis.
55. A microfluidic chip comprising the microfluidic valve of any of claims 1 to 33.
56. A microfluidic switch comprising the microfluidic valve of any of claims 1 to 33.
57. The method of claim 34 or 35, wherein the electric field is non-uniform.
58. The method of claim 34, 35 or 36, wherein the electric field has an electric field gradient.
59. A diagnostic device comprising the microfluidic chip of claim 56 or the microfluidic switch of claim 57.
60. A microfluidic valve substantially as herein described and with reference to figures 2 to 6 of the accompanying drawings.
52. A method of controlling fluid flow substantially as described herein and with reference to figures 2 to 6 of the accompanying drawings.

ABSTRACT

(Figure 3)

MICROFLUIDIC COMPONENTS

5

The invention provides a microfluidic valve comprising a first body for containing fluid having a fluid inlet and a fluid outlet and a plurality of electrodes, and arranged to contain, in use, a second body held within fluid contained in the first body, the second body being moveable toward or away from one of the fluid inlet or fluid outlet, the movement of the second body caused by the electric field generated by the electrodes, such that fluid flow into or out of the first body is controlled.

10 The fluid flow is controlled using one of the dielectrophoretic, electrophoretic or electro-osmotic effects. The invention also provides a method of controlling fluid flow, and a microfluidic switch, and microfluidic chip and a diagnostic device, wherein fluid

15

20 flow is controlled in each.

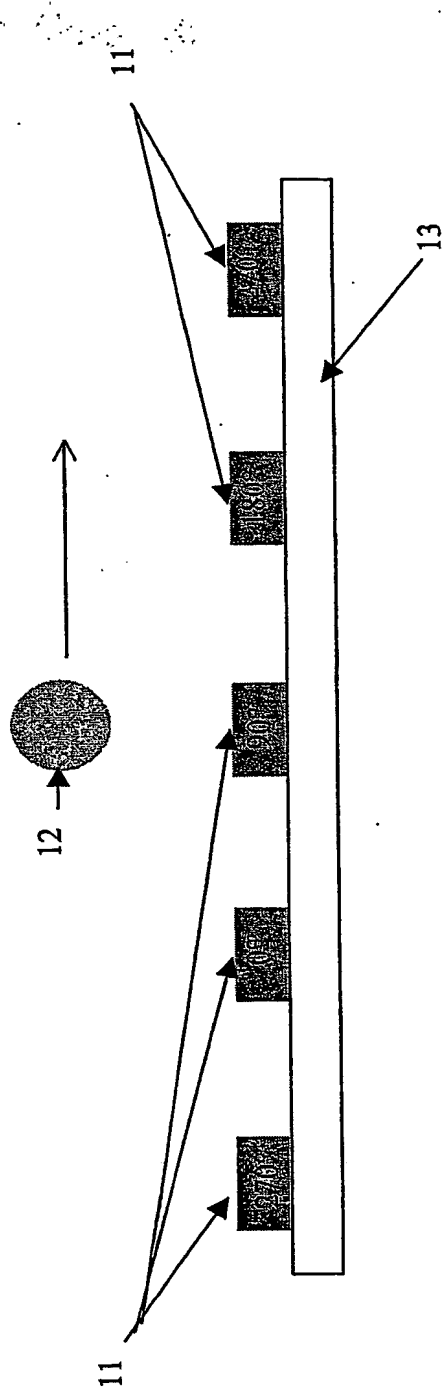


Figure 1

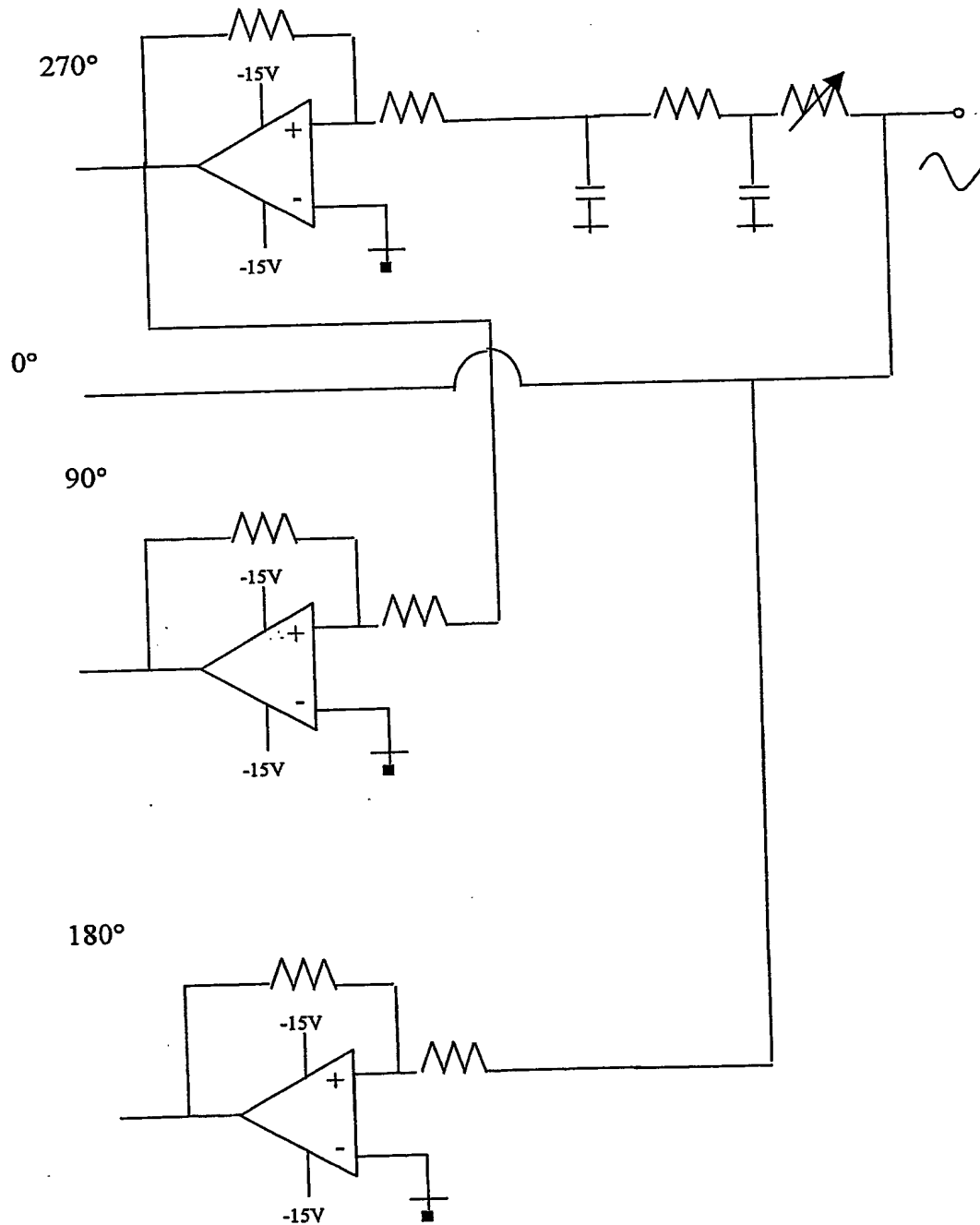


Figure 2

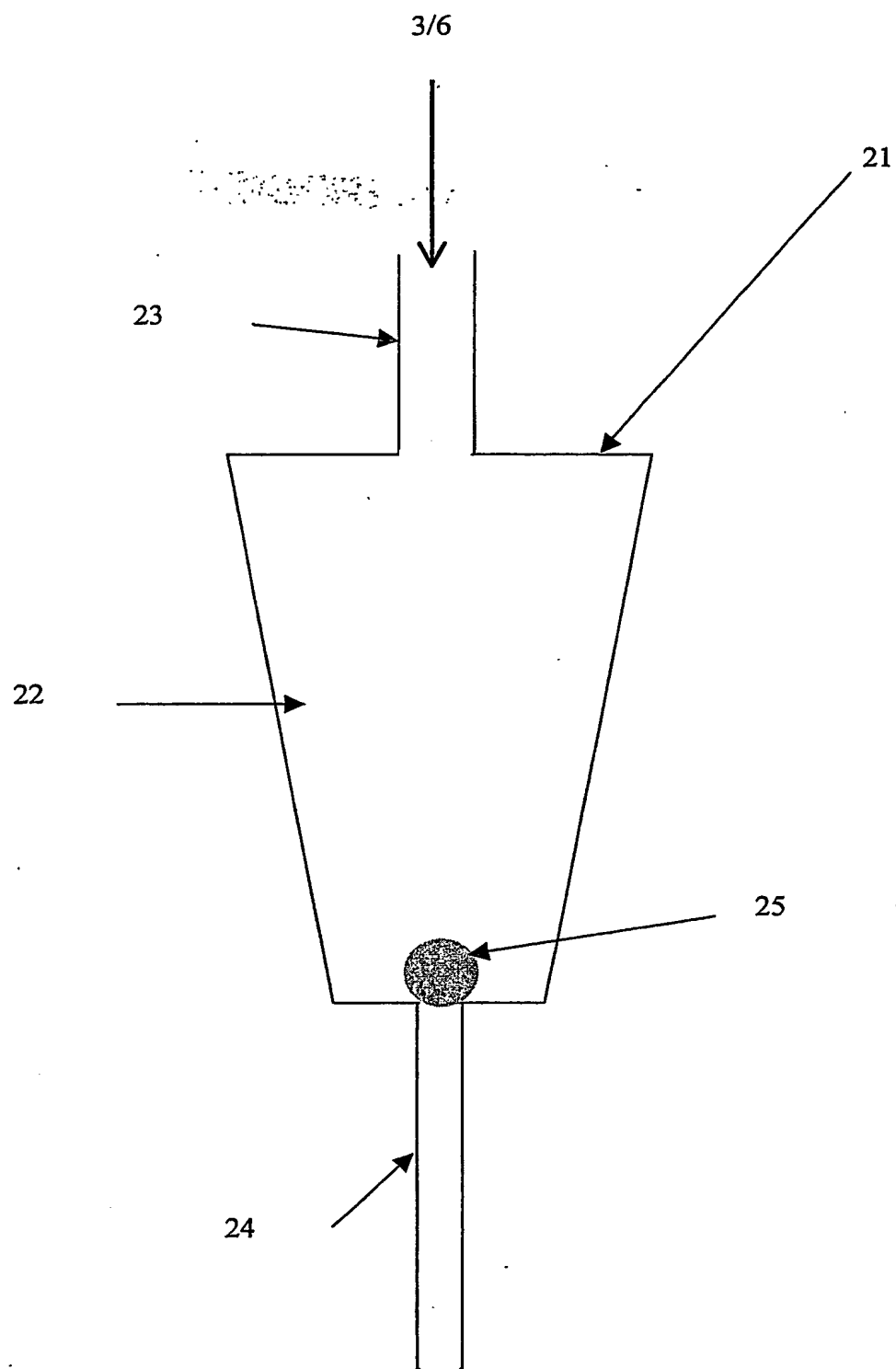


Figure 3

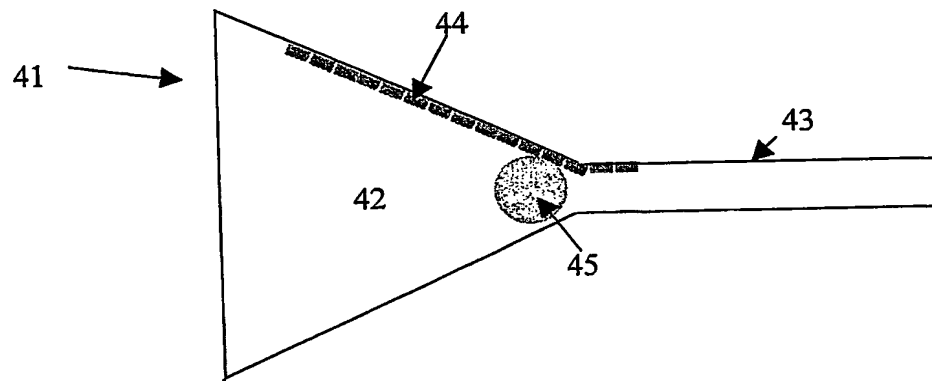


Figure 4

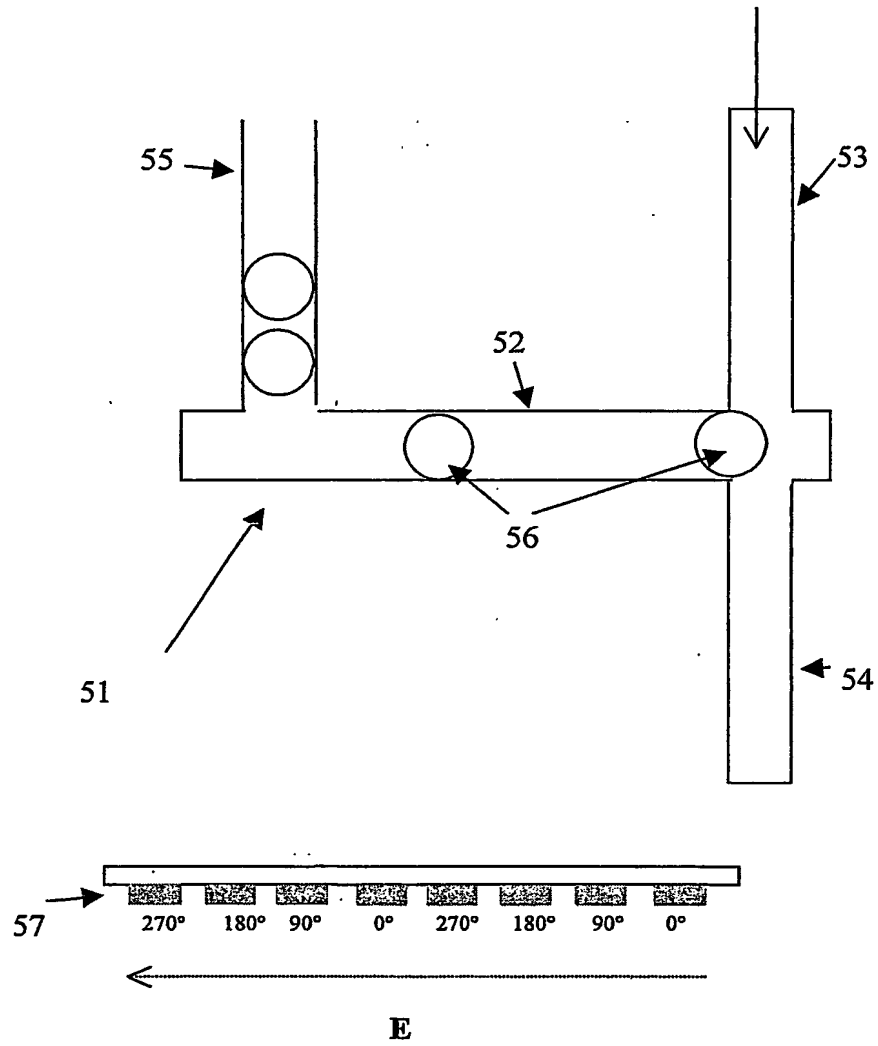


Figure 5

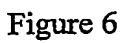


Figure 6

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.